

QUESTIONNAIRE

Private person

Date

Danske Bank A/S _____

This questionnaire has been compiled in accordance with current anti-money laundering and tax administration legislation and the overall know-your-customer principle. More details are available on the website of the relevant Danske Bank A/S branch.

All data will be treated as strictly confidential and will not be disclosed to third parties (except where required by law).

I. PERSONAL INFORMATION

First name	Surname
Resident - personal code; Non-resident- personal code/personal identification number	
Date of birth (YYYY-MM-DD)	Country of birth
Citizenship(s)	Gender <input type="checkbox"/> Female <input type="checkbox"/> Male

1.1. Registered address

Village / Street, house No / Apartment No	Postcode	
Town / Parish	County / State	Country
Phone number (incl. country code)	E-mail <input type="checkbox"/> I do not have an email address	

1.2. Residential address (correspondence address) is same as registered address

Village / Street, house No / Apartment No	Postcode	
Town / Parish	County / State	Country

1.3. Personal document

Type of document	Issuing authority	
Document no.	Country of issue	Date of expiry (YYYY-MM-DD)

II. COUNTRY OF RESIDENCE FOR TAX PURPOSES* (please indicate all your tax residence countries)

I am a tax resident only in the country where the relevant account has been or is to be opened:
 Yes No (please specify below)

Tax residence country	Tax ID number (TIN)	Country does not issue TIN
		<input type="checkbox"/>
		<input type="checkbox"/>

III. INFORMATION ON POLITICALLY EXPOSED PERSONS (PEP) * INVOLVED

3.1. Are you considered a politically exposed person (PEP)?
 No
 Yes, I am
 Yes, my family member* or close associate* (please specify below):

First name, surname	Reason for being a PEP	Relationship with PEP

* Please see definition on the last page.

Signature of Customer(s)/representative(s)	Signature of the representative of the Bank
Name, Surname	Name, Surname

First name, surname	Reason for being a PEP	Relationship with PEP
3.2. If yes, please provide information on your main source of wealth* (e.g. savings from salary, investment income, property sale, loan, inheritance, gift, etc.):		

IV. INFORMATION ON THE U.S. TAXPAYER CONSIDERING THE U.S. LEGISLATION OF FOREIGN ACCOUNT TAX OBLIGATIONS

You have a US Citizenship or permanent residence permit, or you were born in the territory of the USA, or have been living in this country for the past two years

No Yes (please specify relations with the USA):

V. INFORMATION ON ORIGIN OF CUSTOMER'S INCOME AND FINANCIAL OR PERSONAL ACTIVITY

5.1. Occupation

<input type="checkbox"/> Employee (specify name of employer, position held and country)	<input type="checkbox"/> Student
<input type="checkbox"/> Self-employed (please specify activity)	<input type="checkbox"/> Minor (under 18 years)
<input type="checkbox"/> Business owner (please specify activity)	<input type="checkbox"/> Retired
	<input type="checkbox"/> Unemployed
	<input type="checkbox"/> Other activity (please specify activity)

5.2. Primary source of income (source of funds* in account)

<input type="checkbox"/> Salary	<input type="checkbox"/> Real estate sale (address)
<input type="checkbox"/> Pension	<input type="checkbox"/> Real estate rental (address)
<input type="checkbox"/> Social allowance	<input type="checkbox"/> Other (please specify)
<input type="checkbox"/> Self-employed	
<input type="checkbox"/> Dividends/interest income etc.	
<input type="checkbox"/> Licence fees, royalties	
<input type="checkbox"/> Public grants	

5.3. Do you perform any of the following commercial activities (mandatory only for customer services in the Republic of Latvia)

No such activities Yes (please specify below)

<input type="checkbox"/> Advertising services	<input type="checkbox"/> Gambling organisation	<input type="checkbox"/> Provision of information technology solutions and maintenance
<input type="checkbox"/> Cash services	<input type="checkbox"/> Investment services and ancillary investment services	<input type="checkbox"/> Reinsurance services
<input type="checkbox"/> Corporate, legal and accounting services	<input type="checkbox"/> Marketing services	<input type="checkbox"/> Trade of precious metals and stones
<input type="checkbox"/> Currency trading intermediary services	<input type="checkbox"/> Mediation in real estate transactions	<input type="checkbox"/> Trade of weapons and ammunition
<input type="checkbox"/> Encashment services		

VI. OWNER OF FUNDS IN ACCOUNT

6.1. Customer is the owner of funds in account(s):

Yes No (please submit information about the owner of the funds)

First name	Surname	
Residents – personal code and date of birth/ Non-residents – date of birth	Country of birth	Citizenship(s)

6.2. Registered residence address

Village / Street, house No / Apartment No	Postcode	
Town / Parish	County / State	Country

* Please see definition on the last page.

Signature of Customer(s)/representative(s)	Signature of the representative of the Bank
Name, Surname	Name, Surname

You have a US citizenship or permanent residence permit, or you were born in the territory of the USA or have been living in this country for the past two years

No Yes (please specify relations with the USA):

6.3. Country of residence for tax purposes

The person is a tax resident only in the country where the relevant account has been or is to be opened:

Yes No (please specify below)

Tax residence country	Tax ID number (TIN)	Country does not issue TIN
		<input type="checkbox"/>
		<input type="checkbox"/>

6.4. Reason for placing funds of the person in the account(s):

VII. OWNER OF FUNDS - INFORMATION ON POLITICALLY EXPOSED PERSONS (PEP) * INVOLVED

7.1. Is the person considered a politically exposed person (PEP)? No Yes (please specify below)

First name, surname	Reason for being a PEP	Relationship with PEP

7.2. If yes, please provide information on your main source of wealth* or owner of funds in the account (e.g. savings from salary, investment income, property sale, loan, inheritance, gift, etc.):

7.3. The person has a US citizenship or permanent residence permit, or he or she was born in the territory of the USA, or has been living in this country for the past two years

No Yes (please specify relations with the USA):

VIII. INFORMATION ON FINANCIAL ACTIVITIES AND FUNDS TRANSFERS

8.1. Purpose of relationship with bank

8.2. Services to be used or planned to be used with Danske Bank

<input type="checkbox"/> Credit card	<input type="checkbox"/> Factoring	<input type="checkbox"/> Securities trading, listed securities
<input type="checkbox"/> Currency exchange	<input type="checkbox"/> Investment fund products	<input type="checkbox"/> Securities trading, unlisted securities
<input type="checkbox"/> Current account	<input type="checkbox"/> Investment management	<input type="checkbox"/> Trade finance, guarantees
<input type="checkbox"/> Customer-initiated broker transactions	<input type="checkbox"/> Leasing	<input type="checkbox"/> Trade finance, letters of credit
<input type="checkbox"/> Debit cards	<input type="checkbox"/> Lending products	<input type="checkbox"/> Other (specify type of service)
<input type="checkbox"/> Deposits		

Expected average volume of funds transfers in next 12 months using Danske Bank accounts per month

8.3. All incoming payments, value (EUR)

<input type="checkbox"/> 0	<input type="checkbox"/> 1,000 - 2,499	<input type="checkbox"/> 5,000 - 9,999	<input type="checkbox"/> 15,000 - 29,999
<input type="checkbox"/> 1 - 999	<input type="checkbox"/> 2,500 - 4,999	<input type="checkbox"/> 10,000 - 14,999	<input type="checkbox"/> 30,000 - more

8.4. Of which incoming cross-border payments*, number of transactions

<input type="checkbox"/> 0	<input type="checkbox"/> 1 - 5	<input type="checkbox"/> 6 - 10	<input type="checkbox"/> 21 - 50	<input type="checkbox"/> 51 - more
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8.5. Of which incoming cross-border payments, value (EUR)

<input type="checkbox"/> 0	<input type="checkbox"/> 1,000 - 2,499	<input type="checkbox"/> 5,000 - 9,999	<input type="checkbox"/> 15,000 - 29,999
<input type="checkbox"/> 1 - 999	<input type="checkbox"/> 2,500 - 4,999	<input type="checkbox"/> 10,000 - 14,999	<input type="checkbox"/> 30,000 - more

Foreign countries you are expecting incoming payments from

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* Please see definition on the last page.

Signature of Customer(s)/representative(s)	Signature of the representative of the Bank
Name, Surname	Name, Surname

8.6. All outgoing payments, value (EUR)

<input type="checkbox"/> 0	<input type="checkbox"/> 1,000 - 2,499	<input type="checkbox"/> 5,000 - 9,999	<input type="checkbox"/> 15,000 - 29,999
<input type="checkbox"/> 1 - 999	<input type="checkbox"/> 2,500 - 4,999	<input type="checkbox"/> 10,000 - 14,999	<input type="checkbox"/> 30,000 - more

8.7. Of which outgoing cross-border payments*, number of transactions

<input type="checkbox"/> 0	<input type="checkbox"/> 1 - 5	<input type="checkbox"/> 6 - 10	<input type="checkbox"/> 21 - 50	<input type="checkbox"/> 51 - more
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8.8. Of which outgoing cross-border payments, value (EUR)

<input type="checkbox"/> 0	<input type="checkbox"/> 1,000 - 2,499	<input type="checkbox"/> 5,000 - 9,999	<input type="checkbox"/> 15,000 - 29,999
<input type="checkbox"/> 1 - 999	<input type="checkbox"/> 2,500 - 4,999	<input type="checkbox"/> 10,000 - 14,999	<input type="checkbox"/> 30,000 - more

Foreign countries you are planning outgoing payments to:

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8.9. Cash in, value (EUR) (not applicable to Danske Bank A/S Latvia branch)

<input type="checkbox"/> 0	<input type="checkbox"/> 1,000 - 1,999	<input type="checkbox"/> 3,000 - 9,999	<input type="checkbox"/> 10,000 - 14,999
<input type="checkbox"/> 1 - 999	<input type="checkbox"/> 2,000 - 2,999	<input type="checkbox"/> 5,000 - 9,999	<input type="checkbox"/> 15,000 - more

Please specify the origin of deposit funds (if an amount of EUR 10,000 or more is expected)

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8.10. Cash out, value (EUR)

<input type="checkbox"/> 0	<input type="checkbox"/> 1,000 - 1,999	<input type="checkbox"/> 3,000 - 4,999	<input type="checkbox"/> 10,000 - 14,999
<input type="checkbox"/> 1 - 999	<input type="checkbox"/> 2,000 - 2,999	<input type="checkbox"/> 5,000 - 9,999	<input type="checkbox"/> 15,000 - more

Please specify reason if withdrawal of EUR 10,000 or more is expected

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8.11. Private person's accounts with other banks

Name of bank	Country of incorporation	Name of bank	Country of incorporation
1.		3.	
2.		4.	

No bank accounts with other banks

Notice about an individual's personal data processing in the Bank

The Bank registers and uses data about the individual to offer the Customer the best advice and solutions, and to comply with the legal requirements that apply to the Bank as a financial institution. The Customer and individual can read more about what data the Bank registers, how the Bank uses it and an individual's rights in the Privacy notice, which is an integral part of the General Conditions. The Privacy notice is accessible at the Bank's place of Service and on the Bank's website; upon request, a hard-copy of the Privacy notice will be provided to the Customer. The Privacy notice also provides contact information if an individual have questions.

In connection with the account opening or data update processes, the bank may ask you to provide additional documents (account statements from another bank, documents confirming the origin of funds or other documents).

I hereby confirm that:

- all of the data submitted in this application are complete and correct and can be certified using documentary evidence at the request of the relevant Danske Bank A/S branch;
- all financial assets are of legal origin and were not obtained from any unlawful source or as a result of unlawful activity;
- I will inform the relevant Danske Bank A/S branch immediately of any changes to the data; and
- I am aware of and comprehend the obligations, including the requirement to submit the information necessary to establish a business relationship or monitoring, and comprehend the liability arising from the submission of incorrect, misleading or incomplete information by me when establishing a business relationship or performing transactions.

Upon the submission of incorrect, misleading or incomplete information, the relevant Danske Bank A/S branch reserves the right not to establish a business relationship with the individual, to terminate an existing business relationship with the individual or not to perform transactions.

* Please see definition on the last page.

Signature of Customer(s)/representative(s)	Signature of the representative of the Bank
Name, Surname	Name, Surname
	Questionnaire received date:

DEFINITIONS

CROSS-BORDER PAYMENTS (FOREIGN TRANSACTION) - incoming and outgoing cross-border transactions, including foreign currency transactions

POLITICALLY EXPOSED PERSONS (PEP) - politically exposed persons are defined as individuals who are, or within the last 12 months have been, entrusted with prominent public functions, together with members of their family, or persons who are known to be close associates of such individuals, for example:

- Head of state, head of government, ministers, vice minister or deputy minister, secretary of state, chancellor of parliament, the government or any ministry
- Members of parliament
- Head, deputy head or member of the management body of a political party
- Judge of constitutional court, supreme court, or another level court (member of judicial body) (not applicable to Danske Bank A/S Estonia branch and Danske Bank A/S Lithuania branch)
- Supreme court judges, members of constitutional courts or other high-level judicial bodies whose decisions are only subject to further appeal in exceptional circumstances (not applicable to Danske Bank A/S Latvia branch)
- Members of the managing body of the courts of auditors or the boards of central banks
- Mayor of the local authority, local administration director (not applicable to Danske bank A/S Estonia branch)
- Ambassadors, charge d'affaires and high-ranking officers in the armed forces
- Members of the administrative, management or supervisory bodies of state-owned entities
- A director, deputy directors and members of the board or equivalent function of an international organisation

No public function or position referred to in above shall be understood as covering middle-ranking or more junior officials.

Family members of a politically exposed person mean:

- Spouses or a person considered to be equivalent to a spouse
- Registered partners (not applicable to Danske Bank A/S Latvia branch)
- Grandparents and grandchildren (not applicable to Danske Bank A/S Estonia branch and Danske Bank A/S Lithuania branch)
- Children and their spouse, or a person considered to be equivalent to a spouse
- Parents
- Brothers, sisters (not applicable to Danske Bank A/S Estonia branch)

Close associates mean:

- Business partners or associates, especially those that share (beneficial) ownership of legal entities or legal arrangements with a person covered by PEP, or who are otherwise connected
- Persons who have sole beneficial ownership of a legal entity or legal arrangement which has been set up for the benefit of one of the primary persons covered by PEP

TAX RESIDENCE COUNTRY - the country where a person is **resident for tax purposes** can usually tax his or her total worldwide income, earned or unearned. This includes wages, pensions, benefits, income from property or from any other sources or capital gains from sales of property from all countries worldwide. Each country has its own definition of tax residence; yet:

- A person will usually be considered **tax-resident in the country where he or she spends more than six months a year**
- If a person spends **less than six months a year** in another EU member state, he or she will normally remain **tax-resident** in his or her home country

SOURCE OF FUNDS - the origin of the funds involved in a business relationship or occasional transactions. It includes also the activity that generated the funds used in the business relationship, for example the customer's income.

SOURCE OF WEALTH - the origin of the customer's assets and money and the economic activity which has generated the net worth.